

SPCX - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2021

July 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.56	29.16	8.51	60.06	2.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS INC	71.18	45.08	73.18	84.20	54.44	746.65	5.0000	214.73	5.1623	2,814.63	29.2411	43.42	7.6888
Virtu Americas, LLC	18.34	52.28	6.46	3.13	29.31	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Speedroute, LLC	8.79	0.01	18.21	11.50	14.45	0.00	0.0000	0.10	0.0131	0.22	0.0233	0.00	0.0000

Material Aspects:

CODA MARKETS INC:

The net payments reflected above include transaction fees paid to and rebates received from CODA Markets by Score Priority Corp. (SPC). At the end of July 2021, SPC received a fee of \$0.003/share executed to add liquidity, and received a fee of \$0.0005 to remove liquidity. SPC does not base equities or options order routing decisions on the receipt of payment for order flow or any other order routing inducements. SPC effectively manages this potential conflict of interest by adhering to SPC's duty to achieve best execution. In addition, SPC provides customer disclosures regarding its payment for order flow practices, and makes order routing determinations wholly independently from any rebates or similar payments that SPC may receive. For further information regarding SPC's best execution practices, please see SPC's Best Execution Statement which can be found here <https://scorepriority.com/disclosure-statements/>. SPC does not have in place any incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; nor does SPC have in place any disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee. SPC does not have in place a volume-based tiered payment schedules; nor does SPC have any agreements in place regarding the minimum amount of order flow that the SPC will send to a venue.

Virtu Americas, LLC:

The net payments reflected above include transaction fees paid to and rebates received from VIRTU Financial by Score Priority Corp. (SPC). At the end of July 2021, SPC received a fee of \$0.0025/share executed to add liquidity, and received a fee of \$0.0015 to remove liquidity. SPC does not base equities or options order routing decisions on the receipt of payment for order flow or any other order routing inducements. SPC effectively manages this potential conflict of interest by adhering to SPC's duty to achieve best execution. In addition, SPC provides customer disclosures regarding its payment for order flow practices, and makes order routing determinations wholly independently from any rebates or similar payments that SPC may receive. For further information regarding SPC's best execution practices, please see SPC's Best Execution Statement which can be found here <https://scorepriority.com/disclosure-statements/>. SPC does not have in place any incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; nor does SPC have in place any disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee. SPC does not have in place a volume-based tiered payment schedules; nor does SPC have any agreements in place regarding the minimum amount of order flow that the SPC will send to a venue.

Speedroute, LLC:

The net payments reflected above include transaction fees paid to and rebates received from SpeedRoute by Score Priority Corp. (SPC). At the end of July 2021, SPC received a fee of \$0.0023/share executed to add liquidity, and paid a fee of \$0.0032 to remove liquidity. SPC does not base equities or options order routing decisions on the receipt of payment for order flow or any other order routing inducements. SPC effectively manages this potential conflict of interest by adhering to SPC's duty to achieve best execution. In addition, SPC provides customer disclosures regarding its payment for order flow practices, and makes order routing determinations wholly independently from any rebates or similar payments that SPC may receive. For further information regarding SPC's best execution practices, please see SPC's Best Execution Statement which can be found here <https://scorepriority.com/disclosure-statements/>. SPC does not have in place any incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; nor does SPC have in place any disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee. SPC does not have in place a volume-based tiered payment schedules; nor does SPC have any agreements in place regarding the minimum amount of order flow that the SPC will send to a venue.

July 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.10	30.24	15.92	52.09	1.75

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS INC	64.45	33.60	77.14	79.10	46.02	1,153.69	4.8725	2,219.73	5.6069	9,237.91	27.6051	126.56	15.3078
Virtu Americas, LLC	19.38	58.27	2.58	1.83	22.74	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Speedroute, LLC	11.32	1.38	16.42	15.29	18.15	-42.83	-0.9890	-65.86	-0.4755	-71.61	-0.6853	0.00	0.0000

Material Aspects:

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July 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
47.29	7.96	11.17	79.91	0.96

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Lamport Capital, L.C.	51.39	21.08	48.93	54.59	65.00	45.72	12.0000	119.88	12.0000	237.96	12.0000	10.32	12.0000
Maxim Group LLC	48.61	78.92	51.07	45.41	35.00	43.92	12.0000	53.88	12.0000	172.80	12.0000	2.16	12.0000

Material Aspects:

Lamport Capital, L.C.:

The net payments reflected above include transaction fees paid to and rebates received from Lamport by Score Priority Corp. (SPC). At the end of July 2021, SPC received a fee of \$0.08/contract executed to add liquidity, and received a fee of \$0.08/contract to remove liquidity. SPC does not base equities or options order routing decisions on the receipt of payment for order flow or any other order routing inducements. SPC effectively manages this potential conflict of interest by adhering to SPC's duty to achieve best execution. In addition, SPC provides customer disclosures regarding its payment for order flow practices, and makes order routing determinations wholly independently from any rebates or similar payments that SPC may receive. For further information regarding SPC's best execution practices, please see SPC's Best Execution Statement which can be found here <https://scorepriority.com/disclosure-statements/>. SPC does not have in place any incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; nor does SPC have in place any disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee. SPC does not have in place a volume-based tiered payment schedules; nor does SPC have any agreements in place regarding the minimum amount of order flow that the SPC will send to a venue.

Maxim Group LLC:

The net payments reflected above include transaction fees paid to and rebates received from MAXM by Score Priority Corp. (SPC). At the end of July 2021, SPC received a fee of \$0.12/share executed to add liquidity, and received a fee of \$0.12 to remove liquidity. SPC does not base equities or options order routing decisions on the receipt of payment for order flow or any other order routing inducements. SPC effectively manages this potential conflict of interest by adhering to SPC's duty to achieve best execution. In addition, SPC provides customer disclosures regarding its payment for order flow practices, and makes order routing determinations wholly independently from any rebates or similar payments that SPC may receive. For further information regarding SPC's best execution practices, please see SPC's Best Execution Statement which can be found here <https://scorepriority.com/disclosure-statements/>. SPC does not have in place any incentives for equaling or exceeding an agreed upon order flow volume threshold, such as additional payments or a higher rate of payment; nor does SPC have in place any disincentives for failing to meet an agreed upon minimum order flow threshold, such as lower payments or the requirement to pay a fee. SPC does not have in place a volume-based tiered payment schedules; nor does SPC have any agreements in place regarding the minimum amount of order flow that the SPC will send to a venue.

August 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.01	47.51	8.77	42.69	1.02

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	91.08	99.69	76.67	84.59	85.71	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000
Speedroute, LLC	6.58	0.00	6.67	13.70	14.29	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

Canaccord Genuity Securities:

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August 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	30.65	13.44	54.92	0.98

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	97.64	99.82	97.91	96.36	97.14	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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August 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
25.31	66.55	3.55	29.90	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Maxim Group LLC	100.00	100.00	100.00	100.00	0.00	173.04	12.0000	42.60	12.0000	73.08	12.0000	0.00	0.0000

Material Aspects:

Maxim Group LLC:

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September 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.02	44.01	7.02	45.90	3.06

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	96.44	93.77	98.98	98.37	100.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

Material Aspects:

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Summary

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0.00	33.72	12.86	51.23	2.20

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Canaccord Genuity Securities	96.71	94.25	99.33	97.58	98.70	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.00	0.0000

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September 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
20.69	47.42	7.13	45.45	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Maxim Group LLC	98.65	99.22	91.38	99.19	0.00	88.56	12.0000	46.20	12.0000	99.72	12.0000	0.00	0.0000

Material Aspects:

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